



\$ 2628

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark J. Smith
Serial No.: 10/037,430
Filed: October 25, 2001

Confirmation No. 7166
Examiner: Clement B. Graham
Art Unit: 3628
Docket:

Title: SYSTEMS AND METHODS FOR WEALTH MANAGEMENT

MS
Commissioner for Patents
P.O. BOX 1450
Alexandria, VA 22313-1450

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FEB 04 2004

GROUP 3600

We are transmitting herewith the following attached items and information (as indicated with an "X"):

- ☒ Return postcard
☒ Amendment and Response Under 37 C.F.R. § 1.111 (16 pgs.)

The NEW fee has been calculated below as follows:

	Currently Filed	Previously Filed	No. Extra	Rate	Fee
TOTAL CLAIMS	26	25	0	X9	\$9.00
INDEPENDENT CLAIMS	4	3	1	X43	\$43.00
FILING FEE					
MULTIPLE DEPENDENT CLAIMS FEE					
TOTAL					\$52.00

Customer Number 38356

CERTIFICATE UNDER 37 C.F.R. §1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450, on this 23rd day of January, 2004.

Sarah L. Reinhard
Name

Sarah L. Reinhard
Signature

Respectfully Submitted,
Mark J. Smith

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